

Receipt

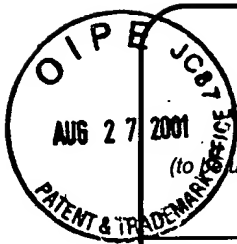
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		<b>Application Number</b>	09/728,453
		<b>Filing Date</b>	November 30, 2000
		<b>First Named Inventor</b>	Hull, Jonathan J.
		<b>Group Art Unit</b>	2611
		<b>Examiner Name</b>	Unassigned
<b>Total Number of Pages in This Submission</b>	1	<b>Attorney Docket Number</b>	015358-006220US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Communication Responding to "Response to Request Corrected Filing Receipt"; Redline corrected copy of Filing Receipt; Supplemental Application Data Sheet; Copy of Response to Request Corrected Filing Receipt; Return Postcard
<b>Remarks</b>		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

RECEIVED

NOV 20 2001

Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP Sujit B. Kotwal Reg No. 43,336	
<b>Signature</b>	S. B. Kotwal	
<b>Date</b>	August 22, 2001	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 22, 2001		
<b>Typed or printed name</b>	Krista K. Merrimac	
<b>Signature</b>		<b>Date</b> August 22, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3165530 v1



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents Washington, D.C. 20231

**PATENT**  
Attorney Docket No.: 015358-006220US  
Client Ref. No.: ID-RSV-249

On August 22, 2001  
By: Christa D. Arreola

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JONATHAN J. HULL et al.

Examiner: Unassigned

Art Unit: 2611

Application No.: 09/728,453

Filed: November 30, 2000

For: TECHNIQUES FOR RECEIVING  
INFORMATION DURING MULTIMEDIA  
PRESENTATIONS AND  
COMMUNICATING THE INFORMATION

COMMUNICATION RESPONDING  
TO RESPONSE TO REQUEST  
CORRECTED FILING RECEIPT

**RECEIVED**

NOV 20 2001

Technology Center 2600

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

Attached in response to the Response to Request Corrected Filing Receipt is a redlined corrected copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-noted application for which issuance of a Corrected Filing Receipt is respectfully requested.

There are errors in the "Continuing Data" section of the Filing Receipt. The correct "Continuing Data" should read as follows:

THIS APPLN CLAIMS BENEFIT OF	60/244,771	10/31/2000
AND IS A CIP OF	09/521,252	03/08/2000
AND IS A CIP OF	09/714,785	11/15/2000
WHICH CLAIMS BENEFIT OF	60/166,081	11/17/1999

A Supplemental Application Data Sheet is submitted along with this Communication indicating the relationship between the present application and the priority documents (as requested by the Response to Request Corrected Filing Receipt mailed 5/11/2001, a copy of which is enclosed).

The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

*S. B. Kotwal*

Sujit B. Kotwal  
Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (650) 326-2400  
Fax: (650) 326-2422  
SBK/km



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/728,453	11/30/2000	Jonathan J. Hull	15358-006220US

Sujit B. Kotwal  
TOWNSEND and TOWNSEND and CREW LLP  
8th Floor  
Two Embarcadero Center  
San Francisco, CA 94111-3834



CONFIRMATION NO. 2461



Date Mailed: 05/11/2001

## RESPONSE TO REQUEST CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

RECEIVED  
NOV 20 2001  
Technology Center 2600

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.


- ☐ The enclosed Filing Receipt was returned to the Office as undeliverable. We are sending a second filing receipt to the address given.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

---

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



015358-006220US  
 UNITED STATES PATENT AND TRADEMARK OFFICE

RC Colwell/SB Kotwal  
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/728,453	11/30/2000	2611	1182	15358-006220US	15	39	3

CONFIRMATION NO. 2461

Sujit B. Kotwal  
 TOWNSEND and TOWNSEND and CREW LLP  
 8th Floor  
 Two Embarcadero Center  
 San Francisco, CA 94111-3834



FILING RECEIPT



\*OC000000005875020\*

Date Mailed: 03/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jonathan J. Hull, San Carlos, CA;  
 Michael Baxter, Sunnyvale, CA;  
 Pamela Gage, Redwood City, CA;  
 Jamey Graham, Menlo Park, CA;  
 Dar-Shyang Lee, Fremont, CA;  
 Peter E. Hart, Menlo Park, CA;

RECEIVED  
 NOV 20 2001  
 Technology Center 2600

TOWNSEND & TOWNSEND  
 RECEIVED  
 01 MAR 27 AM 8:39

**Continuing Data as Claimed by Applicant**

WHICH THIS APPLN CLAIMS BENEFIT OF 60/244,771 10/31/2000  
 AND CLAIMS BENEFIT OF 60/166,081 11/17/1999  
 AND IS A CIP OF 09/521,252 03/08/2000  
 Foreign Applications AND IS A CIP OF 09/714,785 11/15/2000

If Required, Foreign Filing License Granted 01/11/2001

Projected Publication Date: 05/30/2002

Non-Publication Request: No

Early Publication Request: No

**Title**

Techniques for receiving information during multimedia presentations and communicating the information

**Preliminary Class**

348

---

**Data entry by : KUANG, SHARON**

**Team : OIPE**

**Date: 03/20/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231